

HOPF ALGEBRA SEMINAR

IN SEARCH OF AN ANTIPODE

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QUICK RECAP

DEFINITION

A *bialgebra* H is a \mathbb{K} -vector space such that the following diagrams commute:

$$\begin{array}{ccc} H \otimes H \otimes H & \xrightarrow{m \otimes id} & H \otimes H \\ id \otimes m \downarrow & & \downarrow m \\ H \otimes H & \xrightarrow{m} & H \end{array}$$

$$\begin{array}{ccccc} & & H \otimes H & & \\ & u \otimes id \nearrow & \downarrow m & \nwarrow id \otimes u & \\ \mathbb{K} \otimes H & & H & & H \otimes \mathbb{K} \end{array}$$

$$\begin{array}{ccc} H \otimes H \otimes H & \xleftarrow{\Delta \otimes id} & H \otimes H \\ id \otimes \Delta \uparrow & & \uparrow \Delta \\ H \otimes H & \xleftarrow{\Delta} & H \end{array}$$

$$\begin{array}{ccccc} & & H \otimes H & & \\ & \epsilon \otimes id \nwarrow & \uparrow \Delta & \nearrow id \otimes \epsilon & \\ \mathbb{K} \otimes H & & H & & H \otimes \mathbb{K} \end{array}$$

EXAMPLE

The group ring $\mathbb{K}G = \left\{ \sum_{i=1}^n \lambda_i g_i : \lambda_i \in \mathbb{K}, g_i \in G \right\}$.

Here we have

$$\begin{aligned} m(g \otimes h) &= gh & u(\lambda) &= \lambda \cdot 1_G \\ \Delta(g) &= g \otimes g & \epsilon(g) &= 1_{\mathbb{K}} \end{aligned}$$

(extend linearly).

DEFINITION

An *antipode* on a bialgebra H is a linear map $S : H \rightarrow H$ such that the following diagram commutes:

$$\begin{array}{ccccc} & & H \otimes H & \xrightarrow{S \otimes id} & H \otimes H & & \\ & \nearrow \Delta & & & & \searrow m & \\ H & \xrightarrow{\epsilon} & \mathbb{K} & \xrightarrow{u} & & \rightarrow & H \\ & \searrow \Delta & & & & \nearrow m & \\ & & H \otimes H & \xrightarrow{id \otimes S} & H \otimes H & & \end{array}$$

Idea: S is kind of like an inverse.

In the case of group ring, $S(g) = g^{-1}$ indeed makes it a Hopf algebra:

$$\begin{array}{ccccc}
 & g \otimes g & \longrightarrow & g^{-1} \otimes g & \\
 & \nearrow & & \searrow & \\
 g & \longrightarrow & 1_{\mathbb{K}} & \longrightarrow & 1_G \\
 & \searrow & & \nearrow & \\
 & g \otimes g & \longrightarrow & g \otimes g^{-1} &
 \end{array}$$

$S : H \rightarrow H$ is an antipode for H iff $S * id = u\epsilon = id * S$. S is unique if it exists.

Reminder: the *convolution* of f and g is the composition

$$f * g = m \circ (f \otimes g) \circ \Delta$$

BIALGEBRAS WITHOUT AN ANTIPODE

If G is a monoid but not a group, then $\mathbb{K}G$ is not a Hopf algebra.

EXAMPLE

S is a set, $G = \mathcal{P}(S)$ its power set. Let $A \cdot B = A \cap B$ for $A, B \in G$. Then G is a monoid with $1_G = S$ and no inverses.

Note from András: these structures are very important because the syntactic monoids of most formal languages are not groups.

More generally, if our bialgebra H contains elements that are 'not invertible', then H cannot be a Hopf algebra.

DEFINITION

An element $q \in H$ is *grouplike* if $\Delta(q) = q \otimes q$ and $\epsilon(q) = 1_{\mathbb{K}}$.

The set of grouplike elements forms a monoid under multiplication. If H is a Hopf algebra with antipode S , then S acts like an inverse operation for grouplike elements, making this monoid into a group. So if there is a noninvertible grouplike element, H cannot admit an antipode.

EXAMPLE

For those with a taste for algebraic topology: see **Beauvais** for a description of a topological example, the p -primary *Dyer-Lashof algebra*.

Note: this is not the only way for a bialgebra not to have an antipode, see **Radford**.

IN SEARCH OF AN ANTIPODE

Takeaway: we don't actually need an antipode, but in the cases we consider, its existence is automatically guaranteed.

DEFINITION

A bialgebra H is *graded* if

- $H = \bigoplus_{n=0}^{\infty} H_n$
- $H_i H_j \subseteq H_{i+j}$ for all $i, j \geq 0$
- $\Delta H_n \subseteq \bigoplus_{i+j=n} (H_i \otimes H_j)$
- $\epsilon H_n = 0$ for all $n \geq 1$

H is *connected* if $H_0 \cong \mathbb{K}$.

EXAMPLE

$H = \mathbb{K}[x]$ with $m(x^i \otimes x^j) = x^{i+j}$, $\Delta(x^n) = \sum_{i+j=n} x^i \otimes x^j$ and

$$H_n = \mathbb{K}\{x^n\}$$

EXAMPLE

$H = \mathbb{K}\{\text{isomorphism classes of finite graphs}\}$ with

- multiplication: disjoint union
- comultiplication: $\Delta(G) = \sum_{S \subseteq V} G|_S \otimes G|_{V-S}$, i.e. partitions of the graph

$$H_n = \mathbb{K}\{\text{isomorphism classes of finite graphs with } n \text{ vertices}\}$$

THEOREM (TAKEUCHI, 1971)

A graded, connected bialgebra has an antipode, explicitly given by the formula

$$S = \sum_{n \geq 0} (-1)^n m^{n-1} \pi^{\otimes n} \Delta^{n-1}$$

where $\pi = id - u\epsilon$.

Convention: $m^0 = \Delta^0 = id$, $m^{-1} = u$, $\Delta^{-1} = \epsilon$.

That is to say, "often the antipode comes for free" (Ardila).

FACT

$S(h_d)$ is a finite sum for any $h_d \in H_d$.

- Let $n > d$.
- $\Delta^{n-1}(H_d) \subseteq \bigoplus_{i_1+\dots+i_n=d} (H_{i_1} \otimes \dots \otimes H_{i_n})$
- Since $n > d$, $i_j = 0$ for some j .

FACT

$\pi(H_0) = 0$.

This comes from the assumption that H is connected (in fact they are equivalent). Since $H_0 \cong \mathbb{K}$, $u \in |_{H_0} = id|_{H_0}$.

- Hence $m^{n-1} \pi^{\otimes n} \Delta^{n-1}(H_d) = 0$ if $n > d$.
- So $S(h_d)$ is indeed finite.

FACT

$$m^{n-1} \pi^{\otimes n} \Delta^{n-1} = \pi * \dots * \pi = \pi^{*n}$$

(feel free to check this!)





Then

$$\begin{aligned} S * id &= \left(\sum_{n \geq 0} (-1)^n \pi^{*n} \right) * (\pi + u\epsilon) \\ &= \sum_{n \geq 0} (-1)^n \pi^{*(n+1)} + \sum_{n \geq 0} (-1)^n \pi^{*n} = \pi^{*0} = u\epsilon \end{aligned}$$

Similarly for $id * S$. \square

ANTIPODES IN MCB AND MBC

- MCB: gives an (inductive) definition for the antipode, and then never uses it again.
- MBC: "Thus, in the following we will focus only on the bialgebra structure and not discuss the antipode map explicitly."
- The bialgebra of (planar) binary rooted trees is graded by the number of leaves.
- Moral of the story: we can forget about the antipode (for now) while knowing that our structures are indeed Hopf algebras.

-  The Ardila lectures, as always
-  *Why graded bi-algebras have antipodes*, Secret Blogging Seminar, <https://sbseminar.wordpress.com/2011/07/07/why-graded-bi-algebras-have-antipodes/>
-  J. Beauvais-Feisthauer, Y. Patel, A. Salch, *Milnor-Moore Theorems for Bialgebras in Characteristic Zero*, Journal of Algebra, **623** (2023) 234–268.
-  David E. Radford, *On bialgebras which are simple Hopf modules*, Proc. Amer. Math. Soc., **80(4)** (1980) 563–568.