

# FOUNDATIONS OF MATHEMATICS, LECTURE 3

András Kornai

BMETE91AM35 Fall 2023-24

# OPERATIONS

- Well, what are operations? Operations are like addition, multiplication, negation... How can we define operations?
- We don't need new machinery! *Binary* operations are **functions** with two variables. *Unary* operations are functions with one variable (minus, reciprocal, ...) *Nullary operations* are functions that don't depend on any variable, **constants**.
- A **structure** is a set  $S$  and some operations. For example groups have a nullary operation (the unit  $e$ ), a unary operation ( $^{-1}$ ), and a binary operation (multiplication) which satisfy some identities (group axioms). On occasion, we don't insist that an operation be everywhere defined
- One set of operations that matters in PL are the Boolean  $\neg, \wedge, \vee$
- These are 'truth functional' – only the truth of the operands matters for establishing the truth of the result

# BOOLEAN OPERATIONS

- When there is a base set (such as the set of integers) everything works!
- We get the de Morgan identities:  $\overline{A \cup B} = \bar{A} \cap \bar{B}$  and  $\overline{A \cap B} = \bar{A} \cup \bar{B}$
- Complementation is an involution  $\overline{\bar{A}} = A$
- $\cup$  and  $\cap$  are commutative, associative, idempotent
- Two kinds of distributive laws
- There is a 0 (the empty set) and a 1 (the universe)
- Will be generalized to *lattices* later on

# TROUBLE WITH NEGATION

- There is no “set of all sets”
- Why not? Because it would not be well founded (doable with AFA, but not with ZFC)
- Because it would give rise to Russel’s Paradox: by Comprehension we could form the set of all sets that don’t contain themselves!
- Paradox can be avoided by (a) positive comprehension (b) type theory
- Most math is done with (b) – types are built into ZFC
- Strongly related to type checking in programming languages
- You can have the *class* of all sets, and other classes (NGB set theory)

# SUBSCRIBING, INDEXING

- The basic idea: instead of  $A, B, C, \dots, Z$  and running out of letters after 26, let's do  $A_1, A_2, \dots, A_{777}$  because we never run out of numbers
- But what if we do? There are things for which we don't have enough numbers, e.g. points in the interval  $(0,1)$
- Let  $S$  be a set of indexes, with members  $\alpha$ . (Note that  $\alpha$  is a *variable* in this usage.) Further, for each member of  $S$  let us assume we already have some set  $A_\alpha$ . We define  $\bigcup_\alpha A_\alpha$  and  $\bigcap_\alpha A_\alpha$  exactly how?
- The special cases: when the index set is empty
- What are variables?
- What are families of sets?

# PARTITIONING

- A *partition* of a set  $A$  is a family of sets  $A_\alpha$  such that for any  $\alpha \neq \beta$  we have  $A_\alpha \cap A_\beta = \emptyset$  and  $\bigcup_\alpha A_\alpha = A$ . By definition, we never consider the empty set a part of any partition, so in the definition we may write “a family of *nonempty* sets”
- The partition can be finite (e.g. the sets of *even* and *odd* numbers partition the set of integers) or infinite
- There are two *trivial* partitions, when all elements are in the same set, and when all go in their own set
- Partitions are 1-1 related to *equivalence relations*

# FUNCTIONS AS RELATIONS

- All functions are relations, but not all relations are functions!
- The big difference is that functions have *unique output*, a relation  $F$  will be called a function only if  $aFb \wedge aFc \Rightarrow b = c$
- Definitions of domain, codomain, range, and composition are the same. We don't write  $30^\circ \cos \sqrt{3}/2$ , we write  $\cos 30^\circ = \sqrt{3}/2$
- CPZ devotes Chapter 10 to functions, we will cover this in class today, but the entire chapter is **homework to read**. **Exercises similar to those in CPZ Ch 1, 9, and 10 will be on the midterm**
- Composition of functions is just like composition of relations: if  $f : A \rightarrow B$  and  $g : B \rightarrow C$  then  $g \circ f : A \rightarrow C$
- Sometimes (often) more lax terminology is used, permitting functions to be defined only on a subset of their domain. For example, most people will talk about  $\sqrt{\phantom{x}}$  as an  $\mathbb{R} \rightarrow \mathbb{R}$  function, even though its *natural domain* is only  $\mathbb{R}_0^+$
- Other tricky point about  $\sqrt{\phantom{x}}$  is that output (depending on definition) is not unique

# MAIN FUNCTION TYPES

- Functions are *defined* or *given* by their graphs, which are the set of (input, output) pairs. But we often think of functions as little machines that take some input and produce some output
- The input may be of different type than the output. Examples: distance travelled as a function of time; temperature as a function of space; force of gravity as a function of masses and distance, ...
- **Multivariate** functions don't depend on a single variable but several. For example, current is a function of both voltage and resistance (Ohm's Law)
- **Vector-valued** sometimes functions produce a  $k$ -tuple of values simultaneously. For example, at any given point in space gravity has both a magnitude and a direction (total of four numbers)
- These can happen at the same time: functions from  $n$ -tuples to  $k$ -tuples are often used



# VARIETY OF FUNCTIONS

- The central types are **numerical functions** from numbers to numbers. You will be seeing a lot of examples of *arithmetic* functions: domain  $\mathbb{N}$  but range can be  $\mathbb{R}$  or even  $\mathbb{C}$
- Also very frequent are **real functions** with domain and range  $\mathbb{R}$
- You will love **complex functions** with domain and range  $\mathbb{C}$
- **Functionals** are functions whose domain are functions, and range is typically  $\mathbb{R}$  or  $\mathbb{C}$
- **Operators** are functions from functions to functions
- All of these are heavily used in physics/engineering
- But there is more! Not all functions involve numbers, for example the truth function maps formulas onto the set  $\{\text{true}, \text{false}\}$
- We will also have a lot to say about **operations** in algebra

# MAIN PROPERTIES OF FUNCTIONS

- 1 Injective: different  $x$ -es map on different  $y$ -s:  
 $f(x) = f(y) \Rightarrow x = y$
- 2 Surjective: codomain = range (codomain  $\supset$  range is true by definition)
- 3 Bijective: both injective and surjective
- 4 **Theorem:** a function  $f$  is *invertible*  $\Leftrightarrow$  it is *bijective*
- 5 **Proof:** We need to prove both  $\Rightarrow$  and  $\Leftarrow$ . For  $\Rightarrow$  we need to *verify* that the bijective properties follow from invertibility. For  $\Leftarrow$  we will construct the inverse of a bijective function.
- 6 ( $\Rightarrow$ ) What do we suppose? What do we need to prove?
- 7 ( $\Leftarrow$ ) What do we suppose? What do we need to prove?
- 8 Discussion of CPZ Ex 10.18

# THE PEANO AXIOMS

- $1 \in \mathbb{N}$
- $\forall i \in \mathbb{N} \exists ! i' \in \mathbb{N}$
- $\nexists i \in \mathbb{N} i' = 1$
- $i' = j' \rightarrow i = j$
- $\forall P \subset \mathbb{N} 1 \in P \wedge (i \in P \rightarrow i' \in P) \rightarrow P = \mathbb{N}$

# THE USUAL $\mathbb{N}$

- Succession is just a technical device, what we want are the standard arithmetic operations (addition, subtraction, multiplication, division)
- These will be *defined*. Addition is defined inductively: (i)  $x + 1 = x'$  (ii)  $x + y' = x + y + 1$
- By convention we call  $1+1$  2, we call  $2+1$  3, etc.
- HW4.1 Prove  $2+3=3+2$
- HF4.2–7 CPZ 2.21–2.26
- HF4.8 Use the definition of addition to define multiplication

# LOGIC

- The twin pillars of the foundation are set theory and logic
- We already started to build relations and functions on set theory, and we will continue with operations and structures. But now we turn to logic
- Set theory has variants (ZFC, NGB, KP . . . ), logic has many more variants!
- To define a logic we will need four things:
  - ① A language to write formulas
  - ② A notion of truth
  - ③ A notion of what the formulas mean 'model theory'
  - ④ A deduction procedure 'proof theory'
- We will cover each in turn, starting with 'language'
- We have a set  $\Sigma$  called the *alphabet*, it's elements are called *letters*
- Putting letters one after the other we obtain *strings*

# RUDIMENTS OF FORMAL LANGUAGE THEORY

- Given an alphabet  $\Sigma$ , the set of all strings formed from these is denoted  $\Sigma^*$ . There is a special element  $\lambda$  called the *empty string*.
- Length of  $\lambda$  is 0, length of  $a \in \Sigma$  is 1, length of  $\alpha$  denoted  $|\alpha|$  satisfies  $|\alpha\beta| = |\alpha| + |\beta|$
- The main operation on strings is *concatenation* (writing them in sequence). For example, if  $\alpha = abc$  and  $\beta = AB$  then  $\alpha\beta = abcAB$
- Concatenation is *not* commutative,  $\beta\alpha = ABabc \neq \alpha\beta$
- We abbreviate  $\alpha\alpha$  as  $\alpha^2$ , similarly for  $\alpha^3$  etc.
- A **language** over the alphabet  $\Sigma$  is a subset of  $\Sigma^*$